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Patent Application  
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Attorney Docket No. IRV1.PAU.53

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Pepe, et al.

Application No.: 09/938,686

Filed: August 24, 2001

Examiner: Unknown

Art Unit: 2811

Title: **STACKABLE LAYERS CONTAINING  
ENCAPSULATED INTEGRATED CIRCUIT  
CHIPS WITH ONE OR MORE OVERLYING  
INTERCONNECT LAYERS AND A METHOD  
OF MAKING THE SAME**

Irvine, California  
October 29, 2001

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**Box MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice To File Missing Parts Of Nonprovisional Application dated September 28, 2001, enclosed herewith are a check for \$65 to cover the declaration surcharge, a copy of Figures 1a and 1b that were inadvertently omitted from the original application, a declaration referring to the figures, a Petition Under 37 CFR 1.182 requesting that the filing date of the herein submitted Figures 1a and 1b be accorded to the entire application, and the \$130 petition fee required under 37 CFR 1.17(h).

The Commissioner of Patents is authorized to charge any amount due, or credit any overpayment, to Deposit Account No. 01-1960. A duplicate copy of this paper is enclosed.

**Certificate of Mailing By "Express Mail"**

Express Mail Label Number: EL 889533022 US

Date of Deposit: October 30, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to **Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231**

By Angela Williams

*A. Williams*

Signature  
October 30, 2001

Respectfully submitted,

*Joseph C. Andras*  
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12/17/2001 ADDITION 00000025 09538686 130.00 OP 02 FC-122

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## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,686	08/24/2001	Angel Antonio Pepe	IRVI.PAU.53

CONFIRMATION NO. 2551

## FORMALITIES LETTER



\*OC000000006813201\*

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 MYERS, DAWES & ANDRAS LLP  
 Suite 1150  
 19900 MacArthur Boulevard  
 Irvine, CA 92612

Date Mailed: 09/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

12/17/2001 ADDITION 000000025 09338686

The following item(s) appear to have been omitted from the application: 01 FD:205 65.00 OF

- Figure(s) 1a and 1b described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date must be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

AA

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE